

AUG 6 6 2002
PATENT & TRADEMARK OFF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Albert Chin et al.

Serial No.: 09/898,710

Examiner: Unknown

Filed: July 3, 2001

Group Art Unit: 3736

For: MEDICAL DEVICE WITH EXTRUDED MEMBER HAVING HELICAL
ORIENTATION

Docket No.: 1001.1468101

TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 30th day of July 2002.

By

David M. Crompton

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

- [] A check in the amount of \$ _____ is enclosed.
- [] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [XX] Other: INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND CITED REFERENCES.
- [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton

Reg. No. 36,772

David M. Crompton
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, Minnesota 55401-2246
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Albert Chin et al.

Serial No.: 09/898,710

Examiner: Unknown

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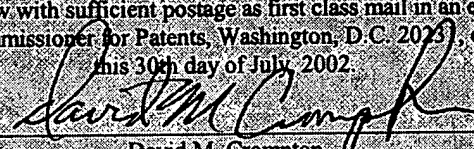
For: MEDICAL DEVICE WITH EXTRUDED MEMBER HAVING HELICAL
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INFORMATION DISCLOSURE STATEMENT

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By 
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Dear Sir:

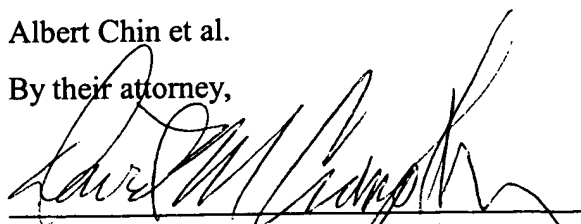
Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Albert Chin et al.

By their attorney,


David M. Crompton, Reg. No. 36,772
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Dated: 7/30/02